

10-17-00

A

UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

909-4652

First Named Inventor or Application Identifier

Kazuhito Shimoda

Express Mail Label No: EL654783320US

JCS20 U.S. PTO

09/688541

10/16/00

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Specification Total Pages 27
2. ☒ Drawing(s) (35USC 113) Total Pages 15
3. ☒ Declaration and Power of Attorney Total Pages 4

a. ☒ Unexecuted(original or copy)

b. ☐ Copy from prior application (37CFR 1.63(d))

(for continuation/divisional with Box 14

completed)

[Note Box 4 Below]

i. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting
Inventor(s) named in the prior

application, see 37 CFR 1.63(d)(2) and 1.33(b).

4. ☐ Incorporation By Reference (usable if Box 3b is checked)
The entire disclosure of the prior application, from which

a. copy of the oath or declaration is supplied under Box 3b,
is considered as being part of the disclosure of the
accompanying application and is hereby incorporated by
reference therein.

ACCOMPANYING APPLICATION PARTS

5. ☐ Assignment Papers (cover sheet & documentation)
6. ☒ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document (if applicable)
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
9. ☒ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
11. ☐ Small Entity ☐ Statement filed in prior Application,
Statement(s) Status still proper and desired
12. ☒ Certified copy of Japanese priority document No. P11-
294406 filed October 15, 1999

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No:

CLAIMS AS FILED

	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$710.00
TOTAL CLAIMS	20	8		18.00	0.00
INDEPENDENT CLAIMS	03	2		80.00	0.00
		ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO			0.00
				TOTAL FEE	\$710.00

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$710.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: David R. Metzger
SONNENSCHN NATH & ROSENTHAL
P.O. Box #061080
Wacker Drive Station, Sears Tower
Chicago, IL 60606-1080
Customer ID #26263
Telephone (312) 876-8000 - Fax (312) 876-7934

SIGNATURE

David R. Metzger (Reg. No. 37,918)

DATE October 16, 2000

EXPRESS MAIL #EL654783320US



CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number: EL654783320US

Date of Deposit: October 16, 2000

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Applicant(s): Kazuhito Shimoda, et al.

Attorney Docket No. 09792909-4652

\$710.00 Filing Fee (8 claims-2 independent)

Drawings (15 sheets - Figs. 1-15)

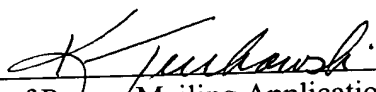
Unexecuted Declaration

Letter Under Rule 37

Preliminary Amendment

Certified copy of Japanese priority application No. P11-294406 filed October 15, 1999

Return postcard



Signature of Person Mailing Application and Fees

Sonnenschein
SONNENSCHN NATH & ROSENTHAL

David R. Metzger
312/876-2578

8000 Sears Tower
233 South Wacker Drive
Chicago, IL 60606
312.876.8000
312.876.7934 fax
www.sonnenschein.com

Chicago
Kansas City
Los Angeles
New York
San Francisco
St. Louis
Washington, D.C.

1c-920 U.S. PTO
09/68541
10/16/00

October 16, 2000

Assistant Commissioner of Patents
Washington, D.C. 20231

RE: New U.S. Application for Letters Patent entitled
"APPARATUS AND METHOD FOR PRODUCING OPTICAL
COMPONENT"
Applicant(s): Kazuhito Shimoda, et al.
Attorney Docket No. 09792909-4652

Dear Sir

Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application,
including 8 claims (2 independent), 15 sheets of drawings (Figs. 1-15) and \$710.00 filing fee
on behalf of

KAZUHIITO SHIMODA and JUNICHI OHSAKO

and request that the application be assigned a serial number and filing date pursuant to the
provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHN NATH & ROSENTHAL

By:


David R. Metzger

Enclosures